

# PCT

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference CDT481WO	FOR FURTHER ACTION		See item 4 below
International application No. PCT/GB2005/000819	International filing date (day/month/year) 02 March 2005 (02.03.2005)	Priority date (day/month/year) 03 March 2004 (03.03.2004)	
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237			
Applicant CAMBRIDGE DISPLAY TECHNOLOGY LIMITED			

1. This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).

2. This REPORT consists of a total of 6 sheets, including this cover sheet.

In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.

3. This report contains indications relating to the following items:

- |                                     |              |   |
|-------------------------------------|--------------|---|
| <input checked="" type="checkbox"/> | Box No. I    | Basis of the report   |
| <input type="checkbox"/>            | Box No. II   | Priority  |
| <input type="checkbox"/>            | Box No. III  | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  |
| <input type="checkbox"/>            | Box No. IV   | Lack of unity of invention  |
| <input checked="" type="checkbox"/> | Box No. V    | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/>            | Box No. VI   | Certain documents cited   |
| <input checked="" type="checkbox"/> | Box No. VII  | Certain defects in the international application  |
| <input type="checkbox"/>            | Box No. VIII | Certain observations on the international application   |

4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis .2).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. +41 22 338 82 70	Date of issuance of this report 05 September 2006 (05.09.2006)
	Authorized officer  Dorothee Mülhausen  e-mail: pt01@wipo.int

From the  
INTERNATIONAL SEARCHING AUTHORITY

REC'D 31 AUG 2005

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To:

see form PCT/ISA/220

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY  
(PCT Rule 43bis.1)

Date of mailing  
(day/month/year) see form PCT/ISA/210 (second sheet)

Applicant's or agent's file reference  
see form PCT/ISA/220

**FOR FURTHER ACTION**  
See paragraph 2 below

International application No.  
PCT/GB2005/000819

International filing date (day/month/year)  
02.03.2005

Priority date (day/month/year)  
03.03.2004

International Patent Classification (IPC) or both national classification and IPC  
H01L51/20

Applicant  
CAMBRIDGE DISPLAY TECHNOLOGY LIMITED

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☒ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA:



European Patent Office - P.B. 5818 Patentlaan 2  
NL-2280 HV Rijswijk - Pays Bas  
Tel. +31 70 340 - 2040 Tx: 31 651 epo nl  
Fax: +31 70 340 - 3016

Authorized Officer

Faou, M.

Telephone No. +31 70 340-4992



**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.  
PCT/GB2005/000819

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**Box No. I Basis of the opinion**

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1. With regard to the **language**, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.  
☐ This opinion has been established on the basis of a translation from the original language into the following language , which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).
2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
  - a. type of material:  
☐ a sequence listing  
☐ table(s) related to the sequence listing
  - b. format of material:  
☐ in written format  
☐ in computer readable form
  - c. time of filing/furnishing:  
☐ contained in the international application as filed.  
☐ filed together with the international application in computer readable form.  
☐ furnished subsequently to this Authority for the purposes of search.
3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

**WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY**

International application No.  
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**Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

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**1. Statement**

Novelty (N)	Yes: Claims	3,5-9,11,13,18
	No: Claims	1,2,4,10,12,14-17
Inventive step (IS)	Yes: Claims	
	No: Claims	1-18
Industrial applicability (IA)	Yes: Claims	1-18
	No: Claims	

**2. Citations and explanations**

**see separate sheet**

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**Box No. VII Certain defects in the international application**

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The following defects in the form or contents of the international application have been noted:

**see separate sheet**

Re Item V.

1. Reference is made to the following document:

D1a : PATENT ABSTRACTS OF JAPAN, vol. 1998, no. 11, 30 September 1998

D1b: JP 10 172756 A (IDEMITSU KOSAN CO LTD), 26 June 1998 (1998-06-26)

D2a: PATENT ABSTRACTS OF JAPAN vol. 2003, no. 12, 5 December 2003

D2b: JP 2004 039500 A (SEIKO EPSON CORP), 5 February 2004 (2004-02-05)

- 2.

The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claims 1, 2, 4, 10, 12, 14, 15-17 is not new in the sense of Article 33(2) PCT.

Document D1b discloses (the references in parentheses applying to this document):

An OLED device (figure 1) having at least one pixel, comprising:

a planar light coupling layer (figure 1, layer 3) having a front surface and a back surface, said layer having a thickness  $T$ ;

a light emitting portion for each pixel (figure 1), disposed on the back surface of the light coupling layer; and

a microlens for each pixel (figure 1), having a radius of curvature  $R$ , disposed on the front surface of the light coupling layer such that its centre of curvature is within the light coupling layer,

wherein the radius of curvature  $R$  and the thickness  $T$  are such that  $R = xT$ , where  $x$  has a value in the range from 0.2 to 0.8 (abstract:  $T$ =focal distance of the planoconvex microlens,  $f$ , a known formula in optic for a planoconvex lens is  $R=(n-1)f$ ,  $n$  being the refractive index of the lens, in document D1  $T=f$  then  $R=(n-1)T$ , in paragraph 21 of D1,  $n$  is between 1,6-1,9).

All features of claim 1 are therefore disclosed in D1b, consequently the subject-matter of this independent claim is not new in the sense of Article 33(2) PCT.

Additional features of claims 2 (figure 1), 4 (abstract), 10 (abstract), 12 (layer 3 of figure 1 is glass, paragraph 37, D1, and ordinary glass has a refractive index of 0.5), 14 (glass material for layer 3), 15, 16 (pixel has a pixel pitch,  $P$ , 100 $\mu$ m, paragraph 39, diameter of each lens is 100 $\mu$ m, paragraph 37, each lens extend across the full width of each pixel pitch, then  $D=R/(1-1/(2y^2))$ ), and by calculation with  $T=220\mu$ m (paragraph 37),  $D=zT$ ,  $z$  between 0.2-0.8), 17 ( $P=100\mu$ m,  $T=220\mu$ m) are also disclosed in D1b (Article 33(2) PCT).

3.

Dependent claims 3, 5-9, 11, 13, 18 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of inventive step (Article 33(3) PCT), as the skilled person would have combined the teachings of D1b with those of D2b to arrive at the use of microlenses having a refractive index of 1.54 (see D2b, paragraphs 16, 31), thereby having a relation  $R=0,54T$  (claims 5-9, 13), at a top emitting device wherein T of encapsulation layer (D2b, figure 1, layer 16) (distance between top transparent electrode and lens) and R, radius of curvature of each lens satisfy the relation  $R=xT$  (x between 0.2 and 0.8) (claim 3) and at the use of Fresnel lens (D2b, paragraph 19). The dimension of the pixel pitch and the thickness of the planar light coupling layer mentioned in claim 18 would have also been contemplated by the skilled person.

#### Re Item VII

On page 5 of the description, a formula  $D^2+P^2/2=R^2$  is mentioned and on page 6, it is mentioned that when  $D=0$ ,  $P=2R$ , however from the formula you get  $P=\sqrt{2} R$ . It appears therefore that an error has been made. When considering that each lens extend across the full width of each pixel (page 5, last paragraph) it appears that the formula should rather be  $D^2+(P/2)^2=R^2$ .



REC'D 31 AUG 2005

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☐ in written format  
  
☐ in computer readable form
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